

WS Wealth Managers Inc.

PRIVACY PLEDGE/POLICY

At WS Wealth Managers Inc., we pledge to maintain the privacy of your personal information we obtain about you, and use it in a fair and lawful manner. This Pledge explains our privacy commitment. It describes: the non-public personal information we collect about you; why we collect it; when we may share it with others; and where you can receive more information about this policy.

To whom and what does the Pledge apply?

Current and former WS Wealth Managers Inc. clients are covered by this pledge. In addition, this Pledge covers the personal information of other individuals with whom WS Wealth Managers Inc. has an existing or prospective relationship where either (a) such protection is required by applicable laws, rules or regulations; or (b) WS Wealth Managers Inc. has made a separate and specific commitment to that effect. In this Pledge "personal information" refers to any information relating to an identified individual, or any "nonpublic personal information."

What personal information do we collect and how do we collect it?

By law and by corporate policy of WS Wealth Managers Inc. and custodians we use, it is important that we collect and maintain accurate information about you. We obtain this information from various account applications and other forms you submit to us, from your business dealings with us, from customer reporting agencies, and other third party sources. The personal data gathered generally varies depending on the products or services you request and may include name, address, phone number, social security number, date of birth, occupation, employer, income, assets. You should know that the privacy policies of the custodians we use are generally similar but separate from those of WS Wealth Managers Inc. and you should take care to review those policies.

How do we use personal information?

We use your personal information to help us deliver the best possible service to you. This may include, depending on the nature of your relationship with us, using it to evaluate financial needs; to offer a broad range of products and services; deliver integrated financial services; process and service transactions; respond to inquiries and requests; fulfill our obligations to you; verify income, asset and obligation information; resolve disputes, prevent fraud; and perform risk control. Additionally, we may use your personal information to verify your identity, including where applicable, verification in accordance with the USA PATRIOT Act. Where permitted and appropriate, we may also use personal information for WS Wealth Managers Inc.'s marketing and product research and/or development purposes. Additionally, we use personal information to comply with legal and regulatory requirements, and in accordance with applicable laws, rules and regulations.

What personal information do we share externally with nonaffiliated third parties and why do we share it?

WS Wealth Managers Inc. does not rent or sell any client or former clients' personal information to any third party. We may transfer some or all of your personal information to nonaffiliated third parties to provide you the products and services you request of us. These nonaffiliated third parties may be financial service providers (such as broker-dealers-custodians, banks or insurance companies) or others (such as professional services organizations or other service providers). We may also transfer personal information (a) to government agencies, exchanges and other self-regulatory organizations and regulatory and law enforcement authorities as necessary or required; (b) to other nonaffiliated third parties as requested by you or your authorized representative or as permitted or required by law, rule and/or regulation. If you decide to terminate our services or become an inactive customer, we will continue to adhere to our privacy policy, as may be amended from time to time.

How do we protect the confidentiality and security of personal information?

We restrict access to your personal data to those who require it to develop, support, offer and deliver products and services to you. We maintain and monitor our physical, electronic and procedural safeguards to comply with applicable regulations.

How can you verify that your personal information is accurate?

We endeavor to keep personal information only for so long as is necessary for business purposes or to meet our legal and regulatory requirements; and keep our records of your personal information current and complete. If you become aware of any discrepancies in your personal information, please notify us and we will make the necessary corrections.

What if you have questions regarding this Pledge or our privacy practices?

If you have any questions regarding this Pledge or our privacy-related practices, please contact us by email at wayne@waynestrout.com. You can also contact us by mail at WS Wealth Managers Inc., Executive Office, 306 Deer Trail Rd., Spring Grove, PA 17362. Our policy about obtaining and disclosing information may change from time to time. We will provide you notice of any material change to this policy before it is implemented.